

FTC SAFEGUARDS RULE DOES IT AFFECT YOU?



DO YOU PROVIDE FINANCIAL SERVICES?



If you issue credit cards to customers, provide vehicle financing or leasing, or offer accounting services, you may fall under the Safeguards Rule. Check if you handle credit card numbers, ask for proof of income, or manage loan account numbers.

WHAT DO I DO FIRST?

The first step to get compliant is to appoint a **Qualified Individual**. This person can be an employee or work for a service provider.



CONDUCT A RISK ASSESSMENT



Your Qualified Individual should conduct a risk assessment. The assessment should inventory your data, and evaluate current and foreseeable risks.

INFORMATION SECURITY PROGRAM

Your Qualified Individual can help you develop your **Information Security Program**. These are the policies and procedures that keep customer data safe.



TEST YOUR SAFEGUARDS

Once you have identified your risks and developed an Information Security Program, test your security to ensure your customer's data is safe.



REPORT YOUR FINDINGS

Your Qualified Individual needs to report their findings to your company's board of directors. This is the last step to ensure compliance with the FTC Safeguards Rule.

